Technology Risk Assessment Committee
Pre-Assessment

A. Name of Initiative/Project/Software (Solution)?

B. Contact info for Submitter
   a. Contact Person / Submitted by:
   b. Title:
   c. Email:
   d. Phone:
   e. Dept/Faculty:
   f. For Research
      i. i) REB Project ID #
      ii. ii) Principal Investigator

C. Description of Initiative, Vendor or Service Provider
   To provide greater solution context please provide a brief description of the proposed solution.

TRAC Pre-Assessment

1. Does this solution exceed $121,200?

2. Scale of your Solution?
   click all that apply

   ☐ individual user
   ☐ workgroup/research team
   ☐ department, division/faculty
   ☐ university wide
   ☐ other e.g. Research participants, alumni, etc.

3. As part of this solution are you required to agree to terms and conditions with the provider?

   ☐ NO
   ☐ YES
   ☐ I'm not sure

4. Is this a purchased solution or developed by you or your project team?

   ☐ Licensed/purchased as a complete solution
   ☐ Built and owned by you or your project team
   ☐ Other, please explain

5. Is this solution hosted…
   (*click all that apply*)

   ☐ on Western technology infrastructure (eg. WTS, faculty/department IT,)
   ☐ by a 3rd Party (eg. vendor, external organization)
   ☐ by a Cloud Services Provider (eg. AWS, MS Azure, Google Cloud, other?)

6. Does your solution capture, store, transmit or process data?
   (click all that apply)

   ☐ NO
   ☐ YES

   If YES ,
   ☐ Personal Data
   ☐ Non-Personal Data
   ☐ De-identified data
   ☐ Anonymous data
   ☐ Anonymized data
   ☐ Public data
   ☐ Other

   _____

   ** References for additional information:
   - *Western's Data Classification Standards*
   - *Freedom of Information and Protection of Privacy Act (FIPPA)*
   - *Personal Health Information Protection Act (PHIPA)*
   - *Research: https://ethics.gc.ca/eng/tcps2-eptc2_2022_chapter5-chapitre5.html#a*

7. Does this solution capture, store, transmit or process card payments or conduct any eCommerce?

   ☐ NO
   ☐ YES